## Michele L Hall

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CRD# 4494269

Form ADV Part 2B Brochure Supplement 1/25/2021

# Ausdal Financial Partners, Inc.

5187 Utica Ridge Road Davenport, IA 52807 Phone (563) 326-2064 Fax (563)326-2159 www.ausdal.com

This Brochure Supplement provides information about Michele Hall that supplements the Brochure of Ausdal Financial Partners, Inc.. You should have received a copy of that Brochure. Please contact our home office at Phone (563) 326-2064 if you did not receive the Ausdal Financial Partners, Inc. Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Michele Hall is available on the website of the United States Securities and Exchange Commission (SEC) at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>.

The information in this Brochure Supplement has not been approved or verified by the SEC or by any state securities authority. Please note, where this Brochure Supplement may use the terms "registered investment adviser" or "registered," registration itself does not imply a certain level of skill or training.

## **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Birth Year: 1970

**Educational Background** 

University or College Degree Major Grad Date (or attend dates)

Winona State University 1988-1989

#### **Business Background**

Dates	Name of Company	Title
October 2017 - Present	Ausdal Financial Partners, Inc.	Registered Representative /
		Investment Advisor Rep
January 2019 - Present	Riverstone Asset Management	President/Owner
June 2018 - Present	Riverstone Consulting Group	President/Owner
February 2015 - October 2017 Wells Fargo Advisors		Compliance Officer
March 2014 - October 2014	4 CenterStreet Advisors	Chief Compliance Officer

#### **Certifications or Designations**

Name of Certification Minimum Qualifications

## **Professional Registrations and Licenses**

Type Name of Professional Registration or License

Securities Registrations: Series 7, 9, 10, 24, and 66

Insurance Licenses: Health, Life, and Variable Contracts

## **DISCIPLINARY INFORMATION**

None

#### **OTHER BUSINESS ACTIVITIES**

## A. Investment-Related Businesses and Occupations

I am registered as an investment adviser representative of Ausdal Financial Partners, Inc. ("Ausdal"), which is registered as an investment adviser with the Securities and Exchange Commission (SEC). I am also registered as a securities representative of Ausdal in its capacity as an introducing broker-dealer registered with the SEC and state securities authorities, member of the Financial Industry Regulatory Authority and SIPC. I am also appointed by various insurance companies to sell insurance products.

As a securities representative of Ausdal, I may recommend that you buy or sell securities (such as mutual funds) or insurance products (such as fixed annuities) which are entirely separate from investments made for your advisory account. For these separate brokerage or insurance recommendations, I will receive customary brokerage or insurance commissions or similar compensation. In many cases, the compensation from mutual funds and variable insurance products will include an initial commission or sales charge, plus on-going "12b-1 fees" or similar types of compensation that will continue for as long as you own the investment. For certain types of investments, a portion of the compensation may be deferred until you sell the investment (this is generally limited to particular investment classes).

Ausdal works to manage these conflicts of interest by disclosing them in this Brochure Supplement and emphasizing that you are under no obligation to purchase any brokerage or insurance products I recommend, nor are you under any obligation to hire Ausdal to provide investment advisory services I recommend. At any time, you may choose to obtain the same (or similar) products or services from other broker-dealers, insurance agencies, or financial institutions, who may charge less for their services.

Please ask me about the compensation I will receive from any brokerage or insurance products or services you purchase, or from investment advisory services Ausdal and I provide. I have an obligation to tell you and you have a right to know how much you are paying us for the financial products and services you purchase.

IMPORTANT: As part of my fiduciary obligation to you, I must disclose that my activities as a securities representative create a potential conflict of interest because, in certain situations, they may reduce the time I am available for my investment advisory clients. Additionally, the possibility of receiving additional compensation from selling securities or insurance products to you provides an economic incentive for me to recommend these brokerage or insurance products and services based on the compensation I will receive rather than on your investment needs. This is a conflict of interest that you should consider.

Finally, please remember that many financial products, such as mutual funds and annuities are sold by prospectus. If you purchase a product that has a prospectus (or in some cases, a summary disclosure document), be sure to read it. It will contain a description of the risks of the investment, as well as the fees and expenses of the investment, and the compensation that brokers and others are being paid.

#### **B.** Other Substantial Businesses or Occupations for Compensation

In Item B., disclose any business or occupation for compensation not discussed in response to Item A, above which provides a substantial source of income or involves a substantial amount of time.

None

#### **ADDITIONAL COMPENSATION**

Below are financial institutions and product sponsors which provided economic benefits to me in the past year for providing advisory services, including reimbursement for event costs, sales awards or other prizes, or travel, lodging, and meals:

Type

Financial Institution or Product Sponsor

None

## **SUPERVISION**

Ausdal maintains a variety of compliance systems and procedures to supervise its supervised persons, including how it monitors the advice supervised persons provide to clients.

Name of Supervisor: John Hicks
Title of Supervisor: Vice President
Telephone: (563)326-2064

My designated supervisor is indicated above. In that capacity, my supervisor is responsible for reviewing and monitoring my activities as an investment adviser representative, including the advice and services I provide to clients and the handling of their accounts.